

## ETC Application Requirements

ETC Application must be filed by a Missouri attorney per Missouri Commission rule 20 CSR 4240-2.080(1).

Any ETC Applications also must be verified by affidavit signed by an authorized company officer per Missouri Commission rule 20 CSR 4240-2.060(1)(M). *See end for specific wording to be included in the affidavit.*

### **Provide Basic Information About the Company** (*see 20 CSR 4240-2.060 and 20 CSR 4240-31.016*)

- Company's legal name.
- Identify how the company is legally organized (i.e., Missouri corporation, foreign corporation, partnership, proprietorship or other). Attach a copy of documentation about the company's legal organization. The document is typically from the Missouri Secretary of State's Office depending on how the company is organized.
- Provide a very brief history and high-level view of the company along with an identification of the company's current service offerings. If these offerings are offered in other states, indicate the states. If the company already has ETC designation in another state identify the state and when such designation became effective.
- Contact information for the company's principal office (Street address, mailing address, email address, website, telephone, fax).
- Contact information for a specific person to whom correspondence about this application should be sent.
- Identify any individual or entity with ownership of 10% or more of the company.
- Identify the company's key management.
- If the applicant's ownership or management is shared with another company receiving universal service funding then identify the company and the arrangement. If none, provide a statement to that effect.
- Identify the company's website containing information about the company's services and rates.
- If an applicant is an association, other than an incorporated association or other entity created by statute, a list of all of its members.

### **Address Specific Regulatory/Compliance Issues:**

- Identify and explain any pending action or any final unsatisfied judgments against the applicant from any state or federal agency or court involving customer service or rates within the past three years. If none, provide a statement to that effect. (*20 CSR 4240-2.060(1)(K)*)
- Indicate whether the company is delinquent in any reporting or assessment obligations with the Missouri Commission. (*20 CSR 4240-2.060(1)(L)*)
- Identify and explain any matter brought in the last 10 years by any state, federal or law enforcement agency involving fraud, deceit, perjury, stealing or omission or misstatement of fact against the applicant, any person or entity with 10% or more ownership interest in the applicant, or any affiliated company under common management or ownership. If none, provide a statement to that effect. (*20 CSR 4240-31.016(2)(B)4*)
- Indicate if the Company has obtained any waivers of ETC-related requirements from the FCC. If any waivers have been granted, attach a copy of FCC documentation or provide a direct electronic link to this documentation. (*20 CSR 4240-31.016(2)(B)7*)
- Provide the following five statements (*20 CSR 4240-31.016(2)(B)6*):
  - The Company will comply with the ETC requirements established by the Missouri Public Service Commission.
  - The Company does (*or does not*) intend to seek support from the Missouri USF or participate in the Disabled program.
  - The Company commits to maintaining current company contact information on the Missouri Commission's Electronic Filing and Information System.
  - The Company is compliant with contribution obligations to the federal USF.

- Make a statement about the company’s authorization with the Missouri Commission (choose one):
  - The Company is certificated or registered by the Missouri Commission and is compliant with all reporting and assessment obligations.
  - The Company is not certificated or registered by the Missouri Commission. The Company will not be providing a telecommunications service or IVoIP service as defined by Section 386.020.

**Provide Information About the Company’s Services**

- Identify and describe the services the Applicant intends to offer in Missouri that will be eligible for federal ETC support. Provide a description of such services in sufficient detail to demonstrate the services will clearly meet program requirements.
- Confirm the Applicant will offer Lifeline service.
- Confirm the Applicant will offer stand-alone voice service. Explain how stand-alone voice service will be offered and by whom.<sup>1</sup>
- Confirm the Applicant’s rates will comply with the most recent reasonably comparable benchmark rates<sup>2</sup> so that the Applicant’s rates for the supported services will be reasonably comparable to the rates offered in urban areas.<sup>3</sup>
- Identify the service area where the Company intends to offer the supported services. (54.201(b))  
Note: This service area will be identified by the Missouri Commission in granting ETC designation.
- Describe how the Company complies with the federal Act’s requirement to offer the supported services using its own facilities or a combination of its own facilities and resale of another carrier’s services. 54.201(d)(1)
- Explain how the company will advertise the supported services unless otherwise exempted by 54.201(d)(3). 54.201(d)(2)
- Indicate and explain how the Company has the ability to remain functional in emergency situations. 54.202(a)(2)
- Certify the Company will satisfy applicable consumer protection and service quality standards. 54.202(a)(3)
- If the Applicant is a winning bidder of Connect America Funding then provide the following information:
  - Annual amount of Connect America Funding for the winning bid.
  - Number of years of CAF funding.
  - Number of targeted locations for broadband service and the broadband speed that will be provided for these locations.
  - Broadband deployment milestones.
  - Type of last mile technology that will be deployed.

<sup>1</sup> The stand-alone voice service obligation could be satisfied by offering service through an affiliate or by offering a managed voice solution (including VoIP) through a third-party vendor as long as the Applicant is legally responsible for dealing with customer problems, providing quality of service guarantees and meeting USF-related requirements.

<sup>2</sup> For example, see [Public Notice](#): Wireline Competition Bureau Announces Results of 2018 Urban Rate Survey for Fixed Voice and Broadband Services, Posting of Survey Data and Explanatory Notes, and Required Minimum Usage Allowance for ETCs Subject to Broadband Public Interest Obligations for All United States Carriers, Including Carriers in Alaska; WC Docket No. 10-90; DA 17-1093; released November 8, 2017.

<sup>3</sup> [FCC Public Notice](#), WCB Reminds Connect America Fund Phase II Auction Applicants of the Process for Obtaining a Federal Designation as an Eligible Telecommunications Carrier; WC Docket Nos. 09-197, 10-90; DA 18-714; released July 10, 2018; (*ETC Designation Reminder*) page 3.

**AFFIDAVIT**

I, \_\_\_\_\_, a natural person, do hereby swear and affirm that I am an officer or general partner of *(insert name of company as listed in the Application)* and that the information and statements contained in this application are true and correct to the best of my knowledge and belief.

By signing this form, I hereby certify that neither I, nor any other members of this filing party, has had communications with a Commissioner, Commissioner Advisor, Regulatory Law Judge, member of the General Counsel or any member of their support team in the sixty (60) days prior to the filing date of this application regarding any substantive issue included in this filing. If any communication of this sort has occurred in the previous sixty (60) day period, I further certify this application was held until sixty (60) days have passed from the date of the subject communication, or we have requested a waiver for good cause as allowed by Commission Rule 20 CSR 4240-4.017(1)(D).

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Printed Name

\_\_\_\_\_  
*(Title)*

State of \_\_\_\_\_

County of \_\_\_\_\_

Subscribed and sworn before me this \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Notary Public